

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Attorney's Docket: 4001-0003CIP

Prior Application: 09/720,979

Art Unit: 1632

Examiner: Not Yet Assigned

SIR: This is a request for filing a

☐ Continuation ☐ Divisional Application ☒ Continuation-in-Part under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/720,979 filed January 3, 2001 of Masayuki Fukumura, et al. for Negative-Sense RNA Virus Vector For Nerve Cell.

1. ☐ Enclosed is a complete copy of the prior application including the oath or Declaration and drawings, if any, as originally filed. I hereby verify that the attached papers are a true copy of prior application Serial No. \_\_\_\_\_ as originally filed on \_\_\_\_\_ (date).
2. ☐ Cancel Claims \_\_\_\_\_. (At least one original independent claim must be retained for filing purposes.)
3. ☐ A Preliminary Amendment is enclosed.
4. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 2 and 3 above.

For	Number Filed	Number Extra	Rate	Basic Fee
				\$710.00
Total Claims:	16 -20=	0	x \$ 18.00=	\$0.00
Independent Claims:	2 - 3=	0	x \$ 80.00=	\$0.00
Multiple Dependent Claim(s) (if applicable)				+\$270.00=
Total =				\$710.00
Less ½ for small entity =				\$355.00

5. ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
6. ☐ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. \_\_\_\_\_.
7. ☒ Amend the specification by inserting before the first line, the sentence:  
--This is a ☒ continuation-in-part ☐ division of application Serial No. 09/720,979, filed January 3, 2001.--

11059 U.S. PTO  
04/30/01

11046 U.S. PTO  
09/843922  
04/30/01

09/843922 04/30/01

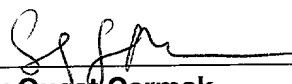
8. ☐ New formal drawings are enclosed.
9. ☒ The prior application is assigned of record to: DNAVEC Research, Inc..
10. ☒ Priority of application Serial No. 10/204333, filed on July 3, 1998 in JAPAN  
☐ is enclosed or ☒ is on file in the prior application.
11. ☒ The power of attorney in the prior application is to at least one of the following:  
Shelly Guest Cermak, Registration No. 39,571.
13. ☒ The power appears in the original declaration of the prior application.
14. ☐ Since the power does not appear in the original declaration, a copy of the power  
in the prior application is enclosed.
15. ☒ Please address all correspondence to SHANKS & HERBERT, TransPotomac  
Plaza, 1033 N. Fairfax St., Suite 306, Alexandria, VA 22314.
16. ☐ Recognize as associate attorney \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

☒ Also enclosed is Submission of Sequence Listing

**PETITION FOR EXTENSION.** If any extension of time is necessary for the filing of this application, including any extension in the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 50-0622. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

SHANKS & HERBERT



**Shelly Guest Cermak**  
Reg. No.: 39,571

Date: 4-30-01

TransPotomac Plaza  
1033 N. Fairfax St., Suite 306  
Alexandria, VA 22314  
(703) 683-3600